# Archer Audit Engagements & Workpapers 2024.11

Audit Engagements & Workpapers enables you to track your audit universe, perform audit engagements, maintain workpaper documentation, perform testing, and report on audit results in a consistent and timely manner.

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## Benefits of the Audit Engagements & Workpapers Use Case

Archer Audit Engagements & Workpapers helps you transform the efficiency of your audit department, complete better-scoped audits more quickly, and decrease internal audit time and external audit fees.

For the key features and benefits of this use case, see the Data Sheet on the Archer Community: <https://www.archerirm.community/t5/solution-use-case-overviews/archer-solutions-amp-use-cases/ba-p/572564>

## How Audit Engagements & Workpapers Fits into a Risk and Compliance Program

Audit Engagements & Workpapers is a use case in the Audit Management solution area. The following sections describe the use cases that Audit Engagements & Workpapers requires as a prerequisite, which use cases you can upgrade to as your audit program matures, and which use cases in other solution areas you can integrate for additional business context and functionality.

### Prerequisite and Next Use Cases

The following table shows how this use case fits into the overall Audit Management solution area.

| Prerequisites | What you have | What's next |
| --- | --- | --- |
| Issues Management | Audit Engagements & Workpapers | Audit Planning & Quality |
| * Establish your business hierarchy * Consolidate and coordinate findings and remediation plans from risk, compliance, audits, and other assessments * Manage exceptions with appropriate risk sign-off acceptance | * Document your audit universe * Create audit engagements and workpapers * Create a centralized Audit Program Library and workpaper repository * Perform audit testing * Manage audit findings and remediation plans, and capture audit observations | * Plan audits based on risk assessments * Manage staff and schedule audits * Create audit plans * Evaluate the audit team |
| Enterprise Catalog |  |  |

### Related Use Cases in Other Solution Areas

The following table shows the use cases in other solution areas you can integrate for additional business context and functionality.

For information on these Archer use cases, see the [Archer Solutions Help.](https://help.archerirm.cloud/archer_suite_help/en-us/Content/PortalHome/SolutionsHome/sol_home.htm)

| Application | Use Cases | Primary Purpose(s) of the Relationship |
| --- | --- | --- |
| Control Procedures / Control sets | Controls Assurance Program Management    IT Controls Assurance    IT Risk Management    Risk Inventory and Top-Down Assessment | When you scope by Control Procedures as an audit entity, this allows the Data Feed Manager to populate the related risks and controls associated with the Control Procedures record. |
| Risks (formerly Risk Register) | Risk Catalog  Risk Inventory and Top-Down Assessment  ISMS  IT Risk Management | When you scope by Risks as an audit entity, this allows the Data Feed Manager to populate the related risks and controls associated with the Risks record. |
| Process Narratives | Financial Controls Monitoring | When you scope by Process Narratives into an audit entity, this allows the Data Feed Manager to populate the related risks and controls associated with the Process Narratives record. |
| Business Unit | IT Asset Catalog  Cyber Incident & Breach Response | When you scope by Business Units as an audit entity, this allows the Data Feed Manager to populate the related risks and controls associated with the Business Unit record. |
| Applications | Audit Engagements & Workpapers  Business Continuity & IT Disaster Recovery Planning  Third Party Governance  IT Asset Catalog  IT Controls Assurance  Information Security Management System  PCI Management  IT Security Vulnerabilities Program  IT Risk Management  Cyber Incident & Breach Response  Data Governance  Operational Risk Management | When you scope by Applications as an audit entity, this allows the Data Feed Manager to populate the related risks and controls associated with the Application record. |
| Facilities | Audit Engagements & Workpapers  Business Continuity & IT Disaster Recovery Planning  IT Controls Assurance  Information Security Management System  PCI Management  IT Risk Management  Cyber Incident & Breach Response | When you scope by Facilities as an audit entity, this allows the Data Feed Manager to populate the related risks and controls associated with the Facilities record. |
| Business Processes | Audit Engagements & Workpapers  IT Controls Assurance  IT Risk Management  Data Governance | When you scope by Business Processes as an audit entity, this allows the Data Feed Manager to populate the related risks and controls associated with the Business Process record. |
| Compliance Scope | Control Assurance Program Management | When you scope by Compliance Scope as an audit entity, this Allows the Data Feed Manager to populate the related risks and controls associated with the Compliance Scope record. |

**Note:** The Audit Planning & Quality use case allows you to scope your audit entities by additional relationships: to risks and control procedures; authoritative sources, policies, and corporate objectives; and to information assets, products and services, and third parties. These relationships are only available if you have also licensed use cases that contains these applications.

## Get Started

* [Read about the use case architecture](am_audeng_design.htm)
* [Learn how the use case works](am_audeng_using.htm)
* [Install and set up the use case](am_audeng_install.htm)